

**DATA SHARING AND CONFIDENTIALITY AGREEMENT**  
INCLUDING  
Bill of Rights for Data Privacy and Security  
AND  
Vendor Information Regarding Data Privacy and Security

This Data Sharing and Confidentiality Agreement (the “Agreement”) is made and entered into by and between Sphero, Inc. (the “Vendor”) and Cuba-Rushford Central School (“CRCS”).

**WHEREAS**, CRCS and Vendor are parties to a contract or other written agreement (the “Contract”) pursuant to which the Vendor will receive student data and/or teacher or principal data (“Protected Data”) that is protected under New York Education Law Section 2-d and Part 121 of the Regulations of the Commissioner of Education (collectively referred to as “Section 2-d”) from CRCS for purposes of providing certain products or services to CRCS; and

**WHEREAS**, both CRCS and Vendor are desirous of fulfilling their respective obligations under New York Education Law Section 2-d;

**NOW THEREFORE**, in consideration of the mutual promises and covenants contained in the Contract, as well as, this Agreement the parties hereto mutually agree as follows:

**1. Confidentiality**

- a. Vendor, its employees, and/or agents agree that all information obtained in connection with the services provided for in the Agreement is deemed confidential information.
- b. Vendor further agrees to maintain the confidentiality of the Protected Data it receives in accordance with federal and state law and that any information obtained will not be revealed to any persons, firms or organizations.

**2. Data Protections and Internal Controls**

- a. Vendor acknowledges that it may receive and/or come into contact with personally identifiable information, as defined by New York Education Law Section 2-d, from records maintained by CRCS that directly relate to a student(s) (hereinafter referred to as “education record”).
- b. Vendor understands and acknowledges that it shall have in place sufficient protections and internal controls to ensure that information is safeguarded in accordance with applicable laws and regulations, and understands and agrees that it is responsible for complying with state data security and privacy standards for all personally identifiable information from education records, and it shall:
  1. Limit internal access to education records to those individuals that are determined to have legitimate educational interests; and
  2. Not use the education records for any other purpose than those explicitly authorized in the Contract and/or Agreement; and

3. Maintain reasonable administrative, technical and physical safeguards to protect the security, confidentiality and integrity of education records in its custody; and
4. To use encryption technology to protect Protected Data in its custody while in motion or at rest, using a technology or methodology specified by the secretary of the United States Department of Health and Human Services in guidance issued under Section 13402(H)(2) of Public Law 111-5.

### **3. Data Security and Privacy Plan**

- a. Vendor agrees to have a Data Security and Privacy Plan in place to protect the confidentiality, privacy and security of the Protected Data it receives from CRCS.
- b. Vendor understands and agrees that it is responsible for submitting a Data Security and Privacy Plan to CRCS prior to the start of the term of the Agreement, and it shall:
  1. Outline how all state, federal and local data security and privacy contract requirements will be implemented over the life of the contract consistent with CRCS's policy on data security and privacy, as adopted.
  2. Outline specific administrative, operational and technical safeguards and practices in place to protect Protected Data that it receives from CRCS under the Contract.
  3. Outline the training requirement established by the Vendor for all employees who will receive personally identifiable information from student records (hereinafter referred to as "student data").

### **4. Notice of Breach and Unauthorized Release**

- a. In the event of a breach of this Agreement and unauthorized release of student data, the Vendor shall:
  1. Immediately notify CRCS in the most expedient way possible and without unreasonable delay, but no more than seven (7) calendar days after Vendor has discovered or been informed of the breach or authorized release.
  2. Advise CRCS as to the nature of the breach and steps Vendor has taken to minimize said breach.
- b. In the case of required notification to a parent or eligible student, the Vendor shall:
  1. Promptly reimburse CRCS for the full costs of such notification.
- c. Vendor will cooperate with CRCS and provide as much information as possible directly to CRCS about the incident, including but not limited to:

1. The description of the incident;
  2. The date of the incident;
  3. The date Vendor discovered or was informed of the incident;
  4. A description of the types of Protected Data involved;
  5. An estimate of the number of records affected;
  6. The schools within CRCS affected;
  7. What the Vendor has done or plans to do to investigate the incident, stop the breach and mitigate any further unauthorized access or release of Protected Data; and
  8. The contact information for Vendor representatives who can assist affected individuals that may have additional questions.
- d. The Vendor shall indemnify and hold CRCS harmless from any claims arising from its breach within the Data Sharing and Confidentiality Agreement confidentiality and data security and privacy standards provision.
- e. Vendor acknowledges that upon initial notification from Vendor, CRCS, as the educational agency with which Vendor contracts, has an obligation under Section 2-d to in turn notify the Chief Privacy Officer in the New York State Education Department (“CPO”). Vendor agrees not to provide this notification to the CPO directly unless requested by CRCS or otherwise required by law. In the event the CPO contacts Vendor directly or requests more information from Vendor regarding the incident after having been initially informed of the incident by CRCS, Vendor will promptly inform CRCS of the same.

## **5. Vendor Information**

Vendor understands that as part of CRCS’s obligations under New York Education Law Section 2-d, Vendor is responsible for providing CRCS with Vendor information (see Vendor Information for Data Privacy and Security) to include:

- a. Exclusive purposes for which the student data will be used;
- b. How Vendor will ensure that subcontractors, persons or entities that Vendor will share the student data with, if any, will abide by data protection and security requirements;
- c. Vendor will not disclose any personally identifiable information to any other party without the prior written consent of the parent or eligible student;
- d. Vendor will not sell personally identifiable information nor use or disclose it for any marketing or commercial purpose or facilitate its use or disclosure by any other party for any marketing or commercial purpose or permit another party to do so.

- e. Vendor will provide a description of what will happen to the personally identifiable information upon expiration of the written agreement (e.g. whether, when and in what format the personally identifiable information will be returned to the educational agency, and/or whether, when and how the personally identifiable information will be destroyed).
- f. If and how a parent, student, or eligible teacher may challenge the accuracy of the student/teacher data that is collected; and
- g. Where the student data will be stored (described in such a manner as to protect data security), and the security protections taken to ensure such data will be protected, including whether such data will be encrypted.

**6. Termination or Expiration of Contract and/or Agreement**


- a. Upon termination of the Agreement, Vendor shall return or destroy all confidential information obtained in connection with the services provided therein and/or student data. Destruction of the confidential information and/or student data shall be accomplished utilizing an approved method of confidential destruction, including, shredding, burning or certified/witnessed destruction of physical materials and verified erasure of magnetic media using approved methods of electronic file destruction. The parties further agree that the terms and conditions set forth herein shall survive the expiration and/or termination of the Agreement.
- b. If requested by CRCS, Vendor will assist CRCS in exporting all Protected Data previously received back to CRCS for its own use, prior to deletion, in such formats as may be requested by CRCS.
- c. In the event the Contract is assigned to a successor Vendor (to the extent authorized by the Contract), the Vendor will cooperate with CRCS as necessary to transition Protected Data to the successor Vendor prior to deletion.
- d. Neither Vendor nor any of its subcontractors or other authorized persons or entities to whom it has disclosed Protected Data will retain any Protected Data, copies, summaries or extracts of the Protected Data, or any de-identified Protected Data, on any storage medium whatsoever. Upon request, Vendor and/or its subcontractors or other authorized persons or entities to whom it has disclosed Protected Data, as applicable, will provide CRCS with a certification from an appropriate officer that these requirements have been satisfied in full.

## PARENTS' BILL OF RIGHTS FOR DATA PRIVACY AND SECURITY

CRCS is committed to protecting the privacy and security of student data and teacher and principal data. In accordance with New York Education Law Section 2-d and its implementing regulations, CRCS informs the school community of the following:

1. A student's personally identifiable information cannot be sold or released for any commercial purposes.
2. Parents have the right to inspect and review the complete contents of their child's education record.
3. State and federal laws protect the confidentiality of personally identifiable information, and safeguards associated with industry standards and best practices, including but not limited to encryption, firewalls, and password protection, must be in place when data is stored or transferred.
4. A complete list of all student data elements collected by New York State is available for public review at the following website <http://www.nysed.gov/data-privacy-security/student-data-inventory> or by writing to the Office of Information and Reporting Services, New York State Education Department, Room 865 EBA, 89 Washington Avenue, Albany, New York 12234.
5. Parents have the right to submit complaints about possible breaches of student data addressed. Complaints should be directed in writing to CRCS Data Privacy Officer, 5476 Route 305, Cuba, New York 14727. Complaints may also be directed in writing to Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, New York 12234 or by using the form available at the following website: <http://www.nysed.gov/data-privacy-security/report-improper-disclosure>.

IN WITNESS WHEREOF, the parties hereto have executed this agreement as of the day and year first written above.

<p>DocuSigned by:  6AB1CD08063D4B1...</p> <hr/>	<p>5/17/2021</p> <hr/>
<p>Authorized Vendor Signature</p>	<p>Date</p>
<p></p> <hr/>	<p>5/17/2021</p> <hr/>
<p>Authorized CRCS Signature</p>	<p>Date</p>

## VENDOR INFORMATION REGARDING DATA PRIVACY AND SECURITY

Vendor: Sphero, Inc. Product: Sphero Edu application, and all related client applications

Collects:  Student Data  Teacher or Principal Data  Does not collect either

Educational agencies including Cattaraugus-Allegany-Erie-Wyoming BOCES are required to *post information about [third-party contracts](#) on the agency's website* with the Parents Bill of Rights. To that end, please complete the table below with information relevant to [NYS Education Law 2-d](#) and [Part 121.3 of the Commissioner's Regulations](#). Note that this applies to all software applications and to mobile applications ("apps").

<b>Part 1: Exclusive Purposes for Data Use</b>	<b>RESPONSE REQUIRED</b>
<p>The exclusive purposes for which the student data (or teacher or principal data) will be used by the third-party contractor: Vendor's Sphero Edu application available at <a href="http://edu.sphero.com">edu.sphero.com</a>, and all related client applications used to create, contribute and learn with Sphero robots. Such use is pursuant to the Terms of Use available at <a href="http://sphero.com/terms">sphero.com/terms</a>, except as modified by this Data Sharing and Confidentiality Agreement and that certain First Amendment to this Agreement dated of even date herewith.</p>	
<b>Part 2: Subcontractor Oversight Details</b>	<b>RESPONSE REQUIRED</b>
<p><input type="checkbox"/> This contract has no subcontractors.</p> <p><input checked="" type="checkbox"/> This contract has subcontractors. As such, the third-party contractor will take the following steps to ensure that any subcontractors, assignees, or other agents who see, or receive, this protected data are contractually required to obey the same data protection and security requirements that the third-party contractor is required to obey under state and federal law:</p> <p style="margin-left: 20px;">Any third party with access to student data is bound by contract to protect that data appropriately.</p>	
<b>Part 3: Contract Lifecycle Practices</b>	<b>RESPONSE REQUIRED</b>
<p>The contract expires on <u>the three-year anniversary hereof</u> unless renewed or automatically extended for a term pursuant to the agreement. When the contract expires, the following will happen to the personally identifiable information (e.g. whether, when and in what format the personally identifiable information will be returned to the educational agency, and/or whether, when and how the personally identifiable information will be destroyed):</p> <p style="margin-left: 40px;">Vendor will delete data in compliance with the Agreement.</p>	
<b>Part 4: Student Educational Records / Improper Disclosure</b>	
<p>A. For information on FERPA (Family Educational Rights and Privacy Act), which is the federal law that protects the privacy of student education records, visit the <a href="#">U.S. Department of Education FERPA website</a>.</p> <p>B. A complaint or report of improper disclosure may be completed by submitting the <a href="#">Improper Disclosure Report</a> form.</p>	
<b>Part 5: Security Practices</b>	<b>RESPONSE REQUIRED</b>
<p>A. Protected data provided to the contractor will be stored: (include <i>where</i> and <i>how</i>)</p> <p style="font-size: small; margin-left: 20px;">Vendor stores student data encrypted in a database in an Amazon AWS facility (see here for more info on AWS compliance standards <a href="https://docs.aws.amazon.com/whitepapers/latest/aws-overview/security-and-compliance.html">https://docs.aws.amazon.com/whitepapers/latest/aws-overview/security-and-compliance.html</a>). Vendor restricts access to student data to only individuals with a business need.</p> <p>B. The security protections taken to ensure data will be protected that align with the <a href="#">NIST Cybersecurity Framework</a> and industry best practices include:</p> <p style="margin-left: 40px;">In motion, the data is encrypted via TLS 1.2. At rest, the data is encrypted using AES-256 encryption.</p>	
<b>Part 6: Encryption Practices</b>	<b>RESPONSE REQUIRED</b>
<p><input checked="" type="checkbox"/> By checking this box, contractor certifies that data encryption is applied in accordance with <a href="#">NYS Education Law Section 2-d 5(f)(5)</a>.</p>	

**AMENDMENT No. 1 to  
Data Sharing and Confidentiality Agreement**


This Amendment No.1 (this "**Amendment**") is made and entered into as of 5/17, 2021 (the "**Effective Date**"), by and between the Cuba-Rushford Central School. ("**CRCS**"), and Sphero, Inc., a Delaware corporation ("**Vendor**") (each, a "**Party**" and collectively, the "**Parties**"). This Amendment amends the terms of the Contract Addendum Protection of Personally Identifiable Information executed between the parties effective on or about the Effective Date (the "**Addendum**"). All capitalized terms used herein and not otherwise defined shall have the respective meanings ascribed to them in the Addendum.

The Parties hereby agree as follows:

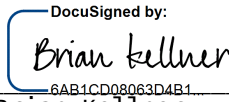
1. "Master Agreement" shall mean the Vendor's Terms of Use available at Sphero.com/pages/terms, provided that, in the event of a conflict between the Master Agreement and the Addendum, as amended here (collectively, the "Amended Agreement"), the Amended Agreement shall govern.
2. CRCS represents and warrants that it will have all requisite right and authority to transmit to Vendor any and all Protected Data transmitted to Vendor under the Addendum.
3. Notwithstanding any other provision of this Agreement, in the event a student has elected to publish student generated content in the application, CRCS acknowledges that Vendor's other users of the application will be able to view, use, and copy such content, and Vendor will have no ability to delete all such copies of such content.
4. Notwithstanding any other provision of the Addendum, in the event a user has been logged in as other than a "learner", has represented that he or she is over the age of consent, and has opted into such communications, Vendor may send emails to such user containing advertisements for Vendor's products and services.
5. **Termination.** The Addendum shall automatically terminate at such time as the CRCS notifies Vendor that it no longer utilizes Vendor's application at edu.sphero.com, and related applications, and Vendor has deleted any and all Protected Data obtained hereunder, pursuant to the terms hereof.
6. **Agreement Enforcement.** The terms and conditions of the Addendum govern this Amendment, and this Amendment shall be considered part of and integrated with the Addendum. Except as specifically provided herein, the Addendum shall remain unchanged and in full force and effect; provided that, in the event of any conflict between this Amendment and the Addendum, the terms of this Amendment shall prevail for the limited purposes and contexts set forth in this Amendment.

**ACCEPTED AND AGREED TO:**

**Cuba-Rushford Central School**

By:   
 Name: Noah Pfeiffer  
 Title: DPO

**Sphero, Inc.**

By:   
 Name: Brian Kellner  
 Title: VP, Software